FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT

| MMB DOCKET NO. 1842-0039 | APPLICATION NO. 10/804,761 |
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| ADDI (CANT/S): Hochshular at al | |

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FILING DATE: March 19, 2004 GROUP ART UNIT: 3738

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| EXAMINER INITIAL | | | CUMENT MBER | DATE | NAME | - | CLA | ss | SUB-CLASS | FILING DATE |
| DD | AA | 3,03 | 0,951 | 04/1992 | Mandarino | | | | | |
| 1 | AB | 3,87 | 5,595 | 04/1975 | Froning | | | | | |
| <u> </u> | AC | 4,77 | 2,287 | 09/1998 | Ray et al. | | | | | |
| | AD | 4,90 | 4,260 | 02/1990 | Ray et al. | | | | | 1 |
| | AE | 5,04 | 7,055 | 9/1991 | Bao et al. | | | | | |
| | AF | 5,108 | 8,404 | 04/1992 | Scholten et al. | • | | | | |
| | AG | 5,14 | 7,359 | 09/1992 | Cozad et al. | | | | | |
| | AH | 5,14 | 7,360 | 09/1992 | Dubouset | | | | | |
| | Al | 5,154 | 4,718 | 10/1992 | Cozad et al. | | | | | |
| | AJ | 5,17 | 1,280 | 12/1992 | Baumgartner | | | | | |
| | AK | 5,170 | 6,678 | 05/1993 | Tsou | | | | | - |
| | | | | FOREIG | N PATENT DOCUMEN | NTS | | | | |
| EXAMINER INITIAL | | | CUMENT MBER | DATE | COUNTRY | • | CLA | ss | SUB-CLASS | TRANSLATION |
| DD | AL | FR 2 | 2 639 823 | 06/12/1988 | France | | | | | Yes No |
| | AM | EP 0 | 480 954 | 12/06/1990 | Europe | | | | | Yes No |
| | AN | EP 0 | 621 020 | 17/03/1994 | Europe | | | ***** | | Ye No |
| • | AO | FR 2 | 714 590 | 07/07/1995 | France | | | _ | | Ye No |
| | AP | wo | 1/21246 | 19/09/2000 | PCT | | | - | | Ye No |
| • | | | 0' | THER (Including A | Author, Title, Date, Perti | inent Pages | s, etc.) |) | · · · · · · · · · · · · · · · · · · · | |
| DD | AQ | 1 | Bradley, of the Pro | S. and J.C., "The eximal Tiba", A | e Use of Methylmeth ust. N.Z. Surg. Vol. 4 | acrylate i 19, No. 1, | in the | Treat | ment of Gia | nt Cell Tumors es). |
| | AR | 1 | Campana Chi. Org. | cci, M., Gui, L. Mov. 72(3), De | and Savini, R., "God cember, 1975 (Italian | oli, The 'n text (pa | Freat ges 2 | ment (| of Tinial Pla 6) English t | teau Fractures", anslation. |
| | AS | 1 - | Kyphon | Surgical Technic | que Manual, 1999, (p | ages 5,6, | 9,16- | 19). | | |
| EXAMINER | | | /Daniel Da | vis / (06/13/2 | 006) | | D. | ATE C | DNSIDERED | |
| EXAMINER: conformance an | Initial if r | eference | e considered, | whether or not citat | tion is in conformance wit | th MPEP 6 | 09. D | raw line | through citati | on if not in |

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| | | | U.S. | PATENT DOCUMENTS | | | |
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| EXAMINER NITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE |
| DD | BA | 5,176,680 | 01/1993 | Vignaud et al. | | <u> </u> | |
| | ВВ | 5,190,543 | 03/1993 | Schläpfer | - | | |
| | BC | 5,192,326 | 03/1993 | Bao et al. | | | / |
| | BD | 5,147,359 | 09/1992 | Cozad et al. | | | 1 |
| | BE | 5,147,360 | 09/1992 | Dubousset | | | |
| | BF | 5,154,718 | 10/1992 | Cozad et al. | | | |
| | BG | 5,176,678 | 01/1993 | Tsou | | | |
| | ВН | 5,176,680 | 01/1993 | Vignaud et al. | | <u>/</u> | |
| | BI | 5,190,543 | 03/1993 | Schläpfer | | | |
| | BJ | 5,207,678 | 05/1993 | Harms et al. | | | |
| | BK | 5,261,907 | 11/1993 | Vignaud et al. | | | |
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| EXAMINER NITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
| | BL | | | | | | Yes No |
| | ВМ | | | | | | Yes No |
| | BN | | | | | | Ye No |
| | ВО | | | | | | Ye No |
| • | BP | | | | | | Ye No |
| | | | OTHER (Including | Author, Title, Date, Pertine | ent Pages, etc.) | | |
| DD | BQ | 2 Kypho | | atment Notebook, date | | ages). | , |
| | BR | 2 Kypho | on web page, www | v.kyphon.com, 3/13/200 | 1, (2 pages). | | |
| | BS | AOM Techniques Manual, date unknown, (11 pages). | | | | | |
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| FORM DTO 1440 | MMB DOCKET NO. 1842-0039 | APPLICATION NO. 10/804,761 |
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| FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT | | |
| | APPLICANT(S): Hochshuler et al. | |
| | FILING DATE: March 19, 2004 | GROUP ART UNIT: 3738 |
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| EXAMINER INITIAL | | | UMENT IBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE |
| DD | CA | 5,26 | 1,913 | 11/1993 | Магпау | | | |
| <u></u> | СВ | 5,27 | 5,600 | 01/1994 | Allard et al. | | | |
| | СС | 5,28 | 2,801 | 02/1994 | Sherman | | | |
| | CD | 5,54 | 9,679 | 08/1996 | Kuslich | | | |
| | CE | 5,55 | 6,429 | 09/1996 | Felt | | | |
| | CF | 5,71 | 6,416 | 02/1998 | Lin | | | |
| | CG | 5,82 | 7,289 | 10/1998 | Reiley et al. | | <u> </u> | |
| · - | СН | 5,88 | 8,220 | 03/1999 | Felt et al. | | | |
| | CI | 5,96 | 1,554 | 10/1999 | Janson et al. | | | |
| | CJ | 6,06 | 6,154 | 05/2000 | Reiley et al. | | | |
| | СК | 6,18 | 3,518 | 02/2001 | Ross et al. | | | <u> </u> |
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| | CL | | | | | | | Yes No |
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| EXAMINER INITIAL | | DOCI | JMENT BER | DATE | NAME | . CLASS | SUB-CLASS | FILING DATE |
| DD | DA | 6,187 | ,048 | 02/2001 | Milner et al. | | | |
| I | DB | 6,224 | ,630 | 05/2001 | Bao et al. | | | |
| | DC | 6,245 | ,107 | 06/2001 | Fентее | | | <u> </u> |
| | DD | 6,248 | ,131 | 06/2001 | Felt et al. | | | <u> </u> |
| | DE | 6,206 | ,177 | 10/2001 | Felt et al. | | | |
| | DF | 6,383 | ,190 | 05/2002 | Preissman | | | |
| | DG | 6,425 | ,919 | 07/2002 | Lambrecht | | <i>Y</i> | |
| | DH | 6,428 | ,576 | 08/2002 | Haldimann | | | |
| | DI | 6,443 | ,988 | 09/2002 | Felt et al. | | | |
| | DJ | 6,482 | ,235 | 11/2002 | Lambrecht et al. | | | |
| | DK | 6,508 | ,839 | 01/2003 | Lambrecht et al. | 1 | | |
| - | | | | FOREIGN | PATENT DOCUMENTS | | | |
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| | DL | | | | | | | Yes No |
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| DB 2002/0045942 04/2002 Ham | |
| DC 2002/0068974A1 06/2002 Kuslich et al. | |
| DD 2002/0156531 10/2002 Felt et al. | |
| DE | |
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| | AL | | | | | | | Yes No | | |
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| | AN | | | | | | | Yes No | | |
| | AO | | | | | | - | Yes No | | |
| | AP | | | | | | | Yes No | | |
| | | 1 | то | HER (Includin | g Author, Title, Date, Perti | nent Pages, etc.) | | <u> </u> | | |
| DD | AQ | Garfin, Steven R., Yuan, Hansen A., Reiley, Mark A., "New Technologies in Spine-Kyphoplasty and Vertebroplasty for the Treatment of Painful Osteoporotic Compression Fractures", SPINE, Vol. 26, No. 14, pp. | | | | | | | | |
| ממ | AR | 1 " | Lieberman, | Lieberman, I.H., Dudeney, S., Reinhardt, M.,K., and Bell, G., "Initial Outcome and Efficacy of "Kyphoplasty" in the Treatment of Painful Osteoporatic Vertebral", SPINE, Vol. 26, No. 14, pp. 1631-1638. | | | | | | |
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